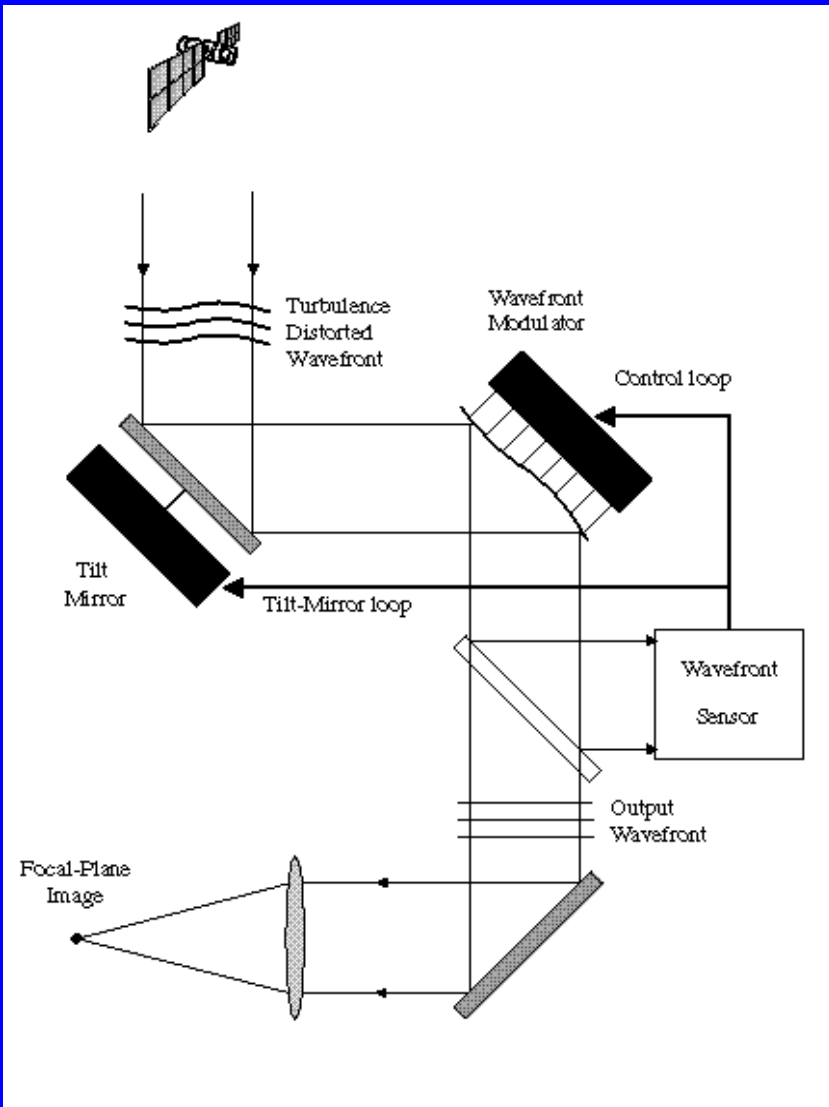


# Applications and methods of Wavefront measurement

Alan Greenaway  
Heriot-Watt University

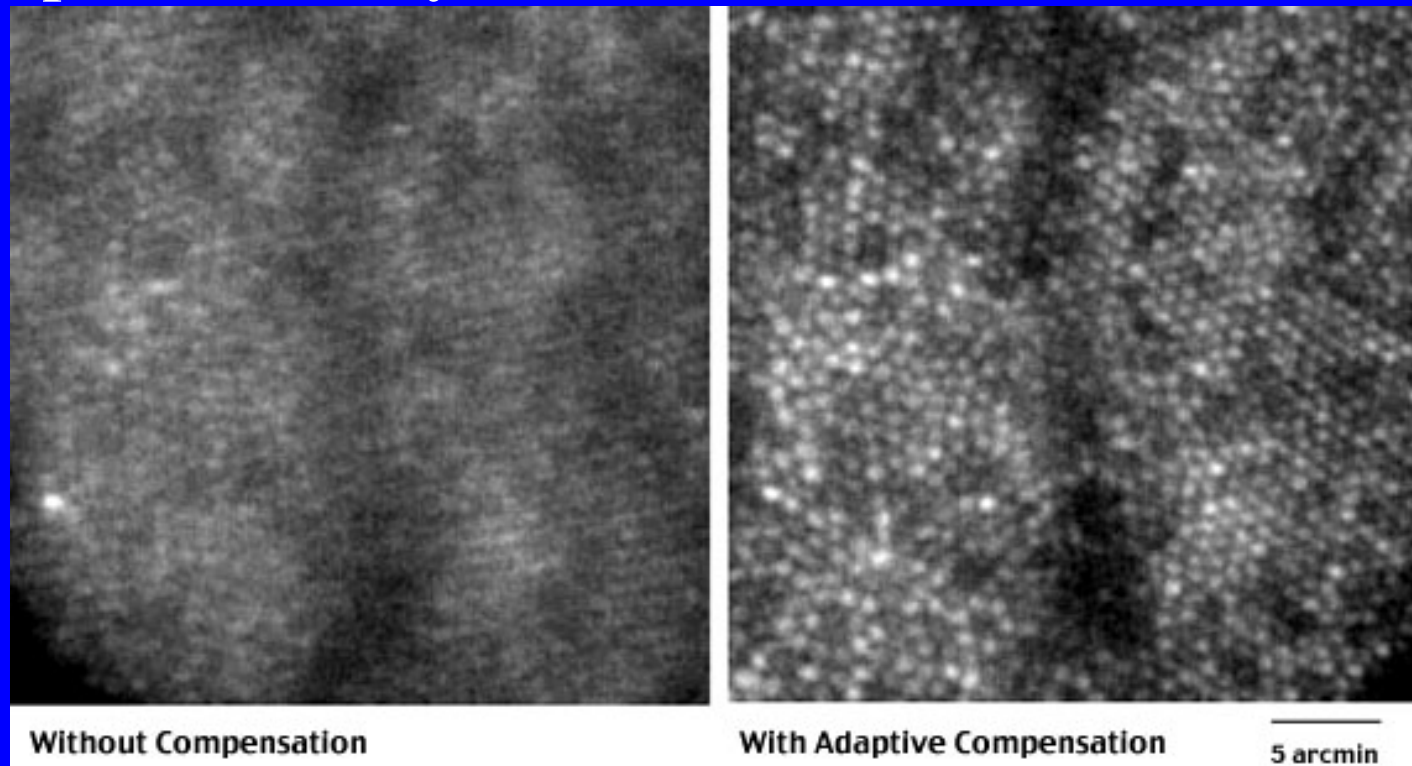
# Adaptive Optics



- **Adaptive = feedback control**
- Adaptive Optics
  - 3 Components
    - ◆ Wavefront Modulator (WFM)
    - ◆ Wavefront Sensor (WFS)
    - ◆ Control loop
  - Active optics = no feedback
    - ◆ No WFS
    - ◆ No on-line control loop
    - ◆ Control signal pre-computed off-line (e.g. gravity sag, ...)

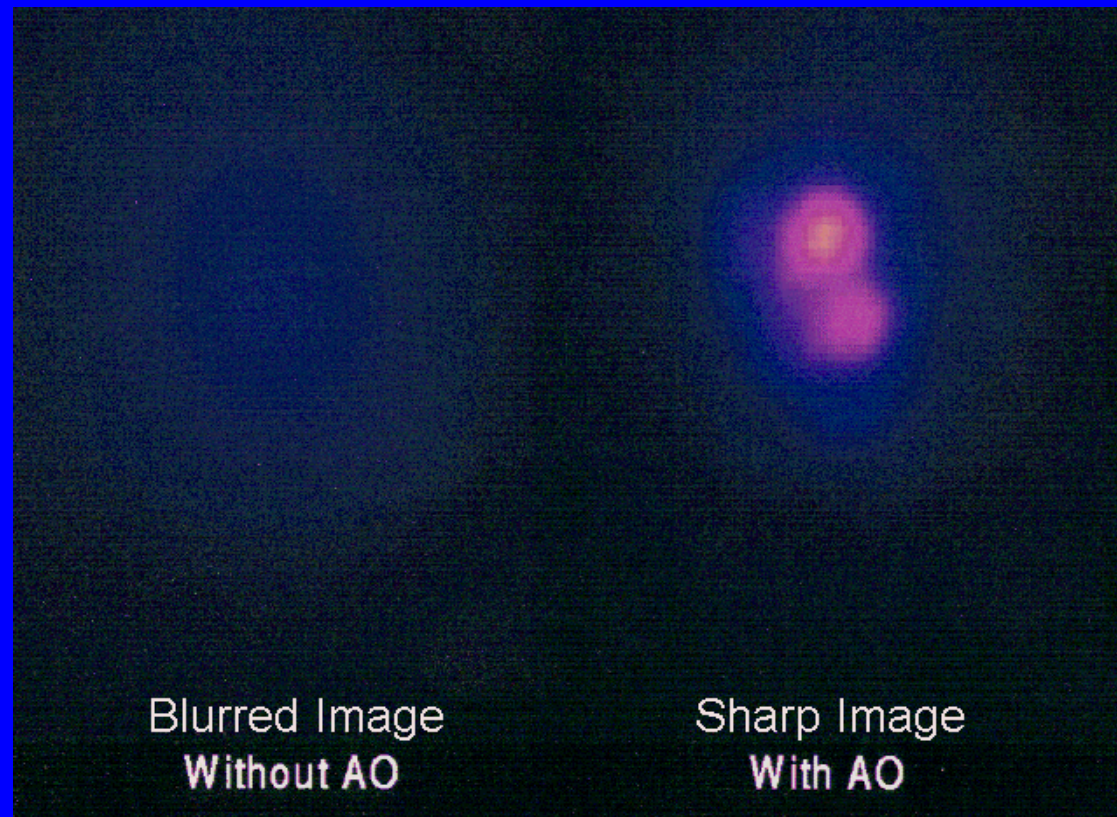
# Example

Retinal image corrected for aberrations of anterior optics of the eye (Univ of Rochester)

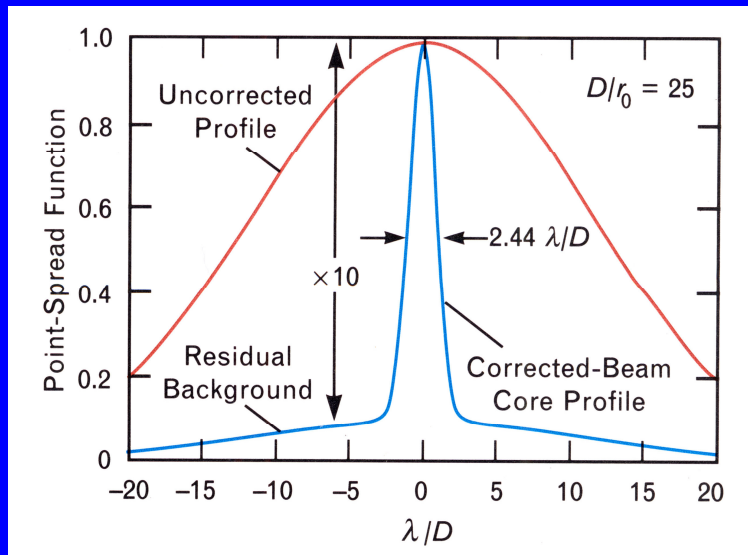


# Example

Image from CFHT at J band ( $1.65\mu\text{m}$ )



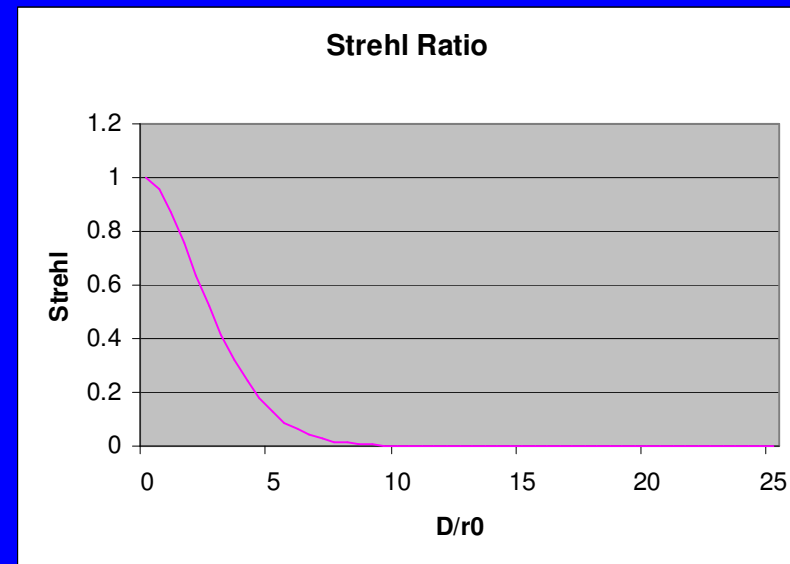
# Image Quality



- AO correction increases Strehl but residual errors still have  $r_0$  scale
- AO 'corrected' images have 'core' and 'skirt'

# Strehl ratio

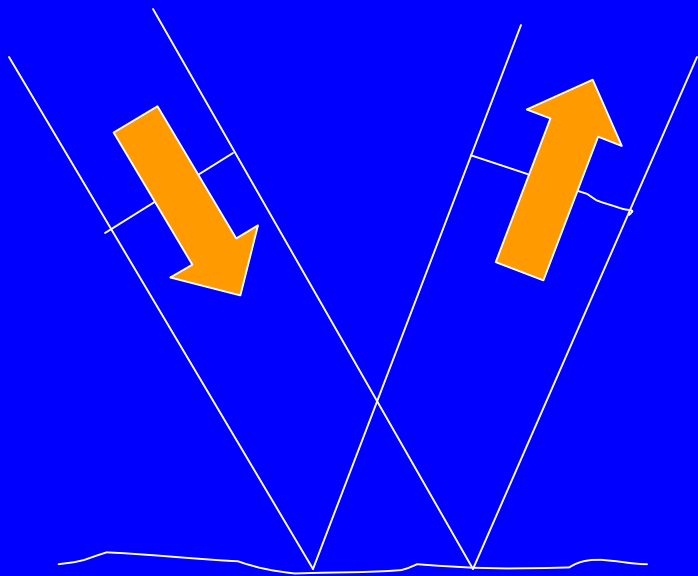
- ◆  $S \sim \exp(-\sigma_\phi^2)$
- ◆ Image peak brightness falls rapidly with  $D/r_0$
- ◆ Small errors
  - ◆  $(\lambda/4$  or less) > good images,  $S > 0.4$
  - ◆  $\lambda/10$  or less  $S > 0.7$



## So...

- For imaging  $\pm\lambda/10$  correction is very good
- For spectroscopy this is OK except for crowded-field work
- Is AO correction to  $<\lambda/10$  practical?
  - Probably only in rare circumstances...
- What about non-astronomical, non-imaging applications?

# Optical metrology



- Metrology of optical wavefronts can give:
  - Surface shape
  - Positional information
  - Depth information
- This non-contact method can:
  - be used at any  $\lambda$
  - give high accuracy (best results  $\pm 0.7\text{nm}$ ) and be time resolved



# Other Applications

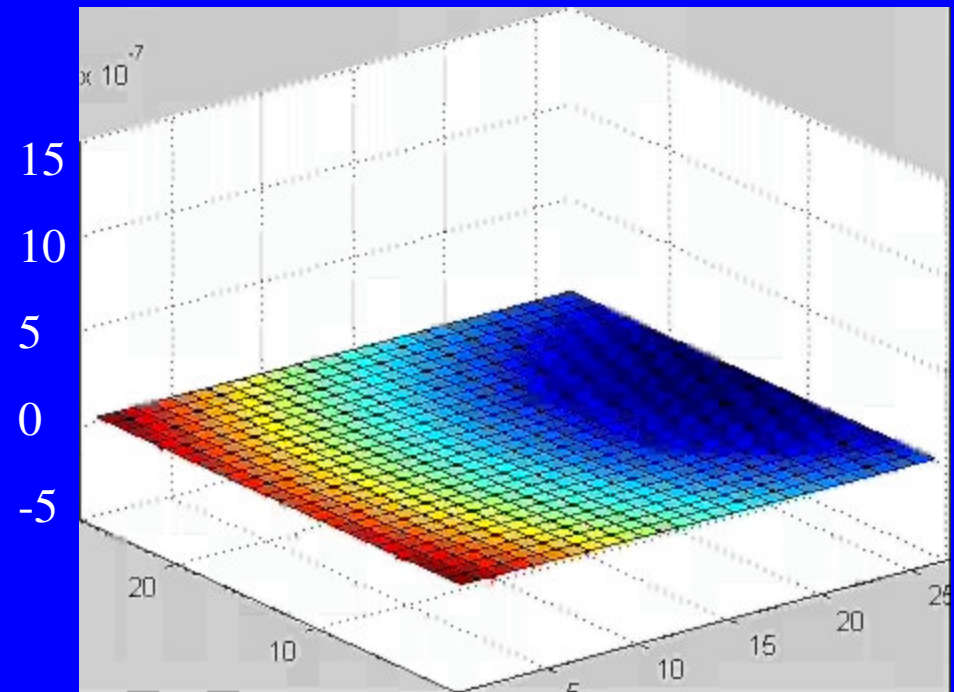
- Monitoring processes - laser-welding, laser drilling, fluid flow, ...
  - shape of weld, beam control, turbulence measurement, ...
- Material inhomogeneity
  - tomographic measurement
- Optical components and assembly testing
  - non-interferometric tolerancing, validation
- Robotic imaging
  - 3-d scene

# WFS Requirements

- For metrology applications high accuracy is required:
  - Request for  $\lambda/1000$  in float glass industry
  - Request for  $\lambda/40000$  in telecomms!!
- Depth measurement to  $\sim 1\mu\text{m}$  in bio-medical applications

# Thin-film induced wavefront aberrations

- The Fresnel reflection from the rear surface of a thin film provides
  - displaced image of source (tilt, defocus)
  - spherical aberration of source image
  - other aberrations



Film thickness from 100nm to 10 $\mu$ m

# Wavefront sensors

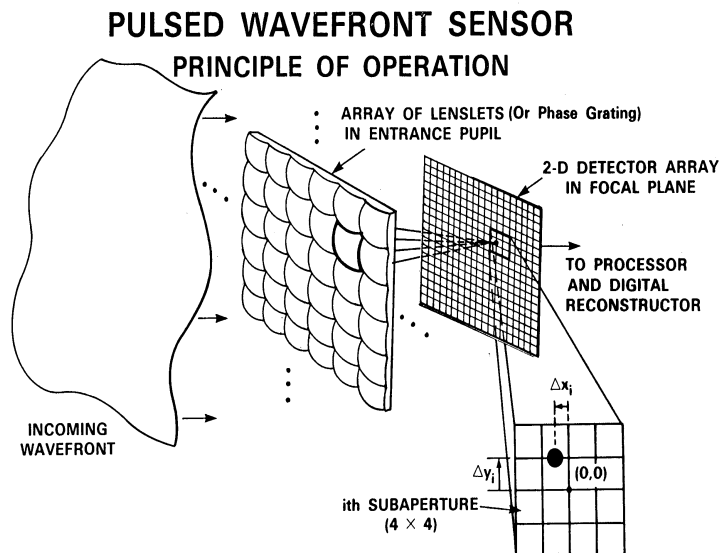
## Technical basis

- ◆ Wavefront slope
- ◆ Wavefront curvature
- ◆ Image quality criteria

## Techniques

- Shack-Hartmann
- Shearing interferometer
- Wavefront curvature sensor
- Phase-diversity wavefront sensor
- e.g. max of integral of intensity squared

# Shack-Hartmann Wavefront Sensor



721

- MEASURE LOCAL PHASE GRADIENTS
  - HARTMANN SENSOR: MEASURE SUBAPERTURE INTENSITY CENTROID
  - SHEARING SENSOR: USE 4-BIN PHASE ALGORITHM
- DIGITAL RECONSTRUCTOR COMPUTES PHASE FROM MEASURED GRADIENTS



90891-4

- Shack-Hartman WFS used in most AO applications
- Wavefront reconstructed from integration of local tilts
- Regions over which tilts are measured are defined by lenslet matrix

# Shack-Hartmann Wavefront Sensor

- ◆ Anecdotal evidence suggests that calibration is a significant problem
  - may be solved with chip-scale SH-WFS
- ◆ Best reported measurements  $\sim \lambda/100$  defocus error measurement (Wavefront Sciences, July 2001) - unpublished to date

# Intensity Transport Equation

- Parabolic wave eqn  $\left( i \frac{\partial}{\partial z} + \frac{\nabla^2}{2k} + k \right) u_z(r) = 0$

- Let  $u_z(r) = \sqrt{I_z(r)} \exp(i\phi_z(r))$

- Multiply PWE by  $u^*$   
on the the left and by  $u$   
on the right - take the  
difference and...

$$-k \frac{\partial}{\partial z} I_z(r) = \nabla \cdot (I_z(r) \nabla \phi_z(r))$$

# ITE solution

- Expanding ITE containing....
 
$$-k \frac{\partial}{\partial z} I_z(r) = I_z(r) \nabla^2 \phi_z(r) + \nabla I_z(r) \cdot \nabla \phi_z(r)$$

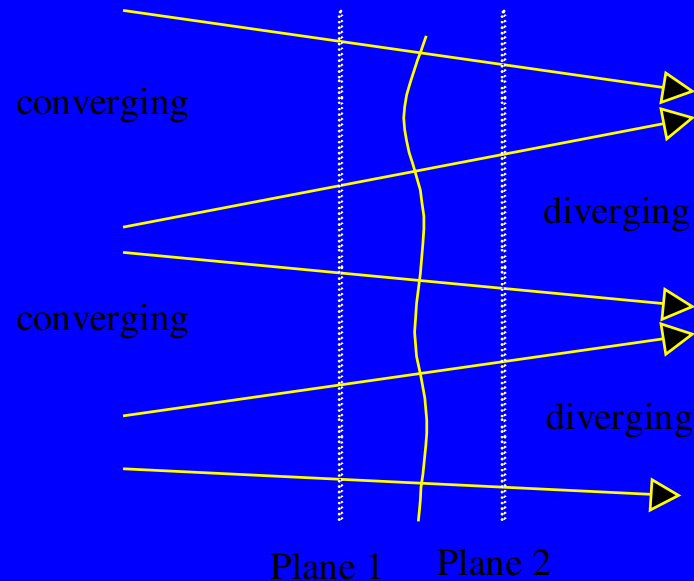
- A curvature term
 
$$I_z(r) \nabla^2 \phi_z(r)$$
- A slope term
 
$$\nabla I_z(r) \cdot \nabla \phi_z(r)$$

- If intensity is const
 
$$\nabla I_z(r) = 0$$

- ITE becomes
 
$$-\frac{k}{I_z(r)} \frac{\partial}{\partial z} I_z(r) = \nabla^2 \phi_z(r)$$



# Phase-diverse wavefront sensing (wavefront curvature sensing)



- Solution of ITE gives wavefront

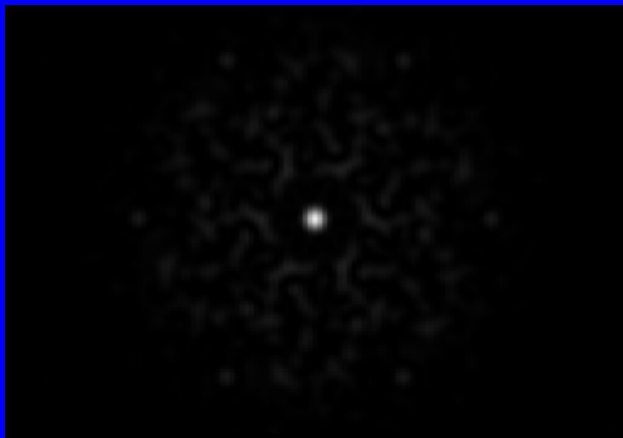
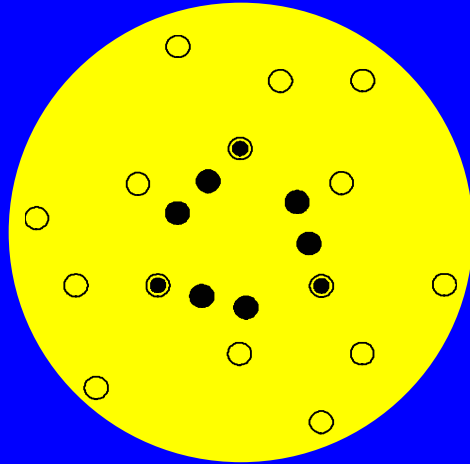
$$\Psi(r) = -k \int_R dr' G(r, r') \frac{\partial I(r')}{\partial z}$$

$$\frac{I_{\text{Plane 1}} - I_{\text{Plane 2}}}{z_1 - z_2} \sim \frac{\partial I}{\partial z}$$

# Why Phase Diversity?

- Phase-diversity can operate in the far-field pupil space (c.f. aperture synthesis)
  - Source structure is encoded in correlations of wavefront, not in wavefront itself
- Algorithm well-known
  - Previously implemented as an iterative procedure

# Synthesis Imaging

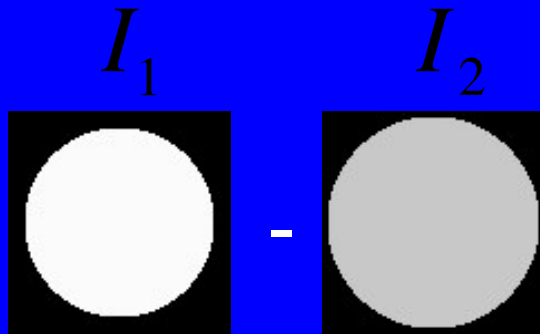


- An array of holes acts like a large, masked lens
- Radio astronomy methods unsuited to snapshot use
- Redundant Spacings Calibration (RSC) > ‘snapshot’ use
- Redundancy is a required for unique data inversion

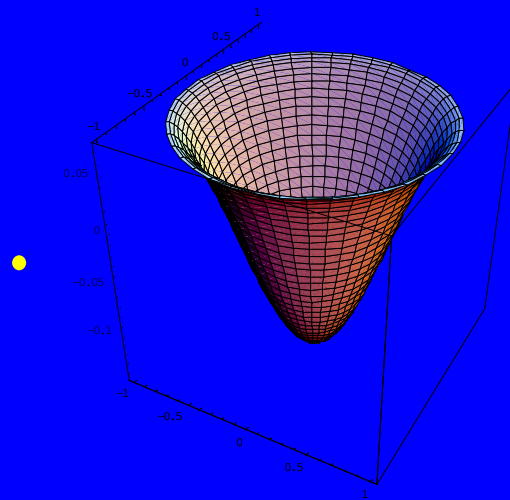
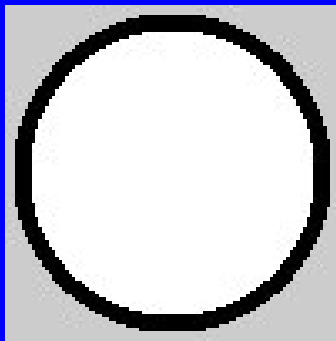
# Redundancy in Synthesis Imaging

- N apertures ➤  $N(N-1)/2$  Fourier components
- Unknown phase for each aperture
- # data < # unknowns  
➤ parametric solutions
- Solve through the use of redundancy (e.g. CLEAN)
- Ways to get redundancy:
  - model-building
  - constraint object support  
➤ Fourier interpolation
  - redundant observations (RSC)
- Far-field/pupil-plane  
➤ source structural information delocalised

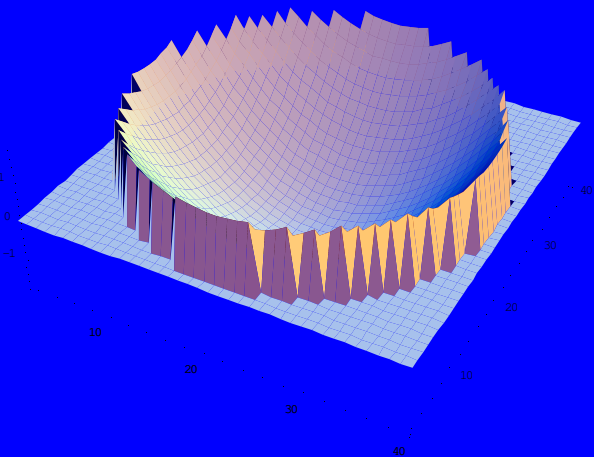
# Phase diversity/wavefront curvature



$$\frac{2\pi}{\lambda} \cdot \frac{(I_1 - I_2)}{\bar{I} \delta z} \cdot G = \phi$$

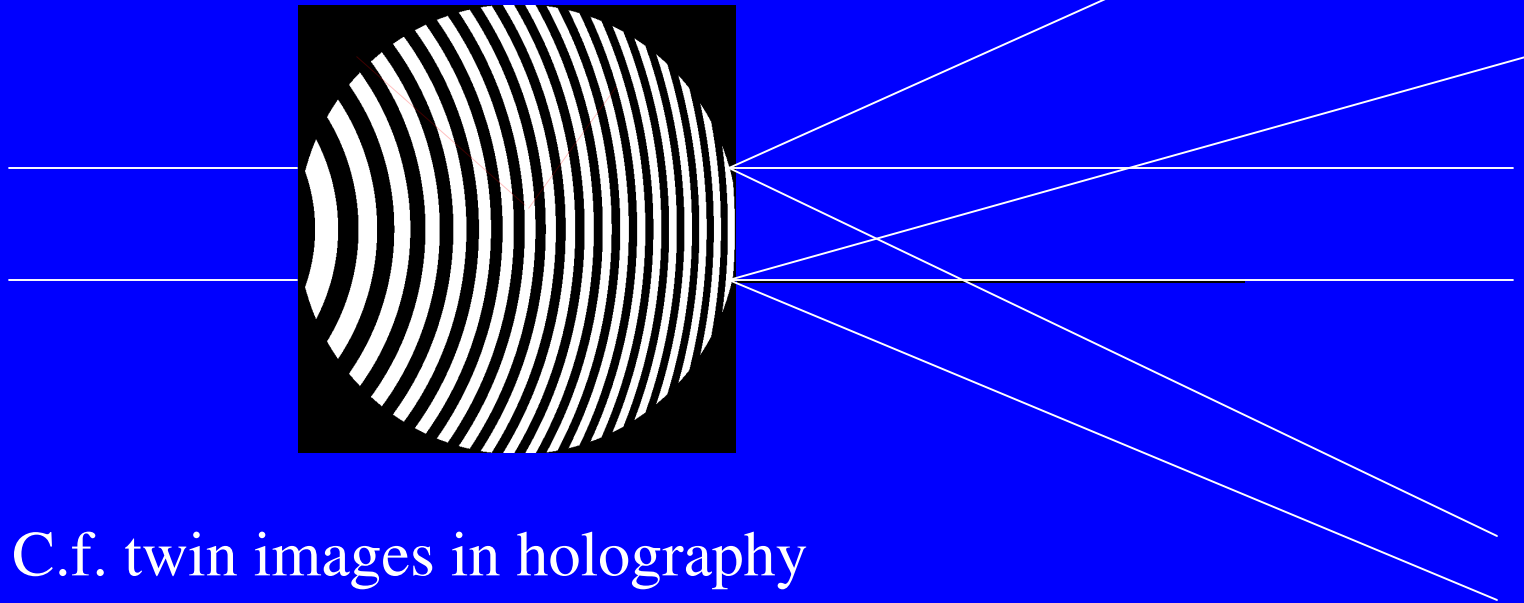


=



# How to collect data?

IMP<sup>®</sup> gratings

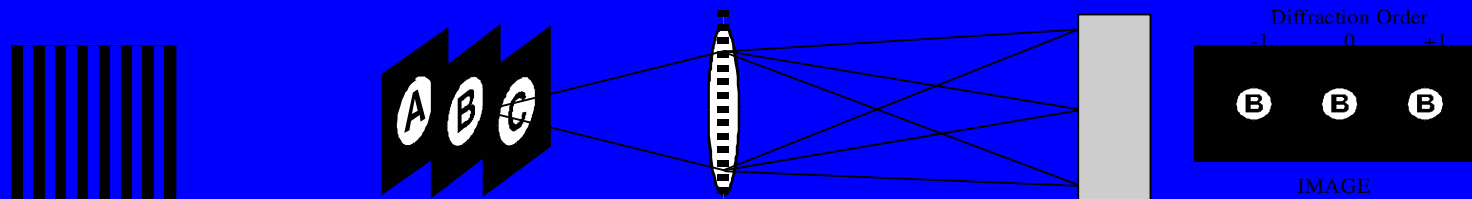


C.f. twin images in holography

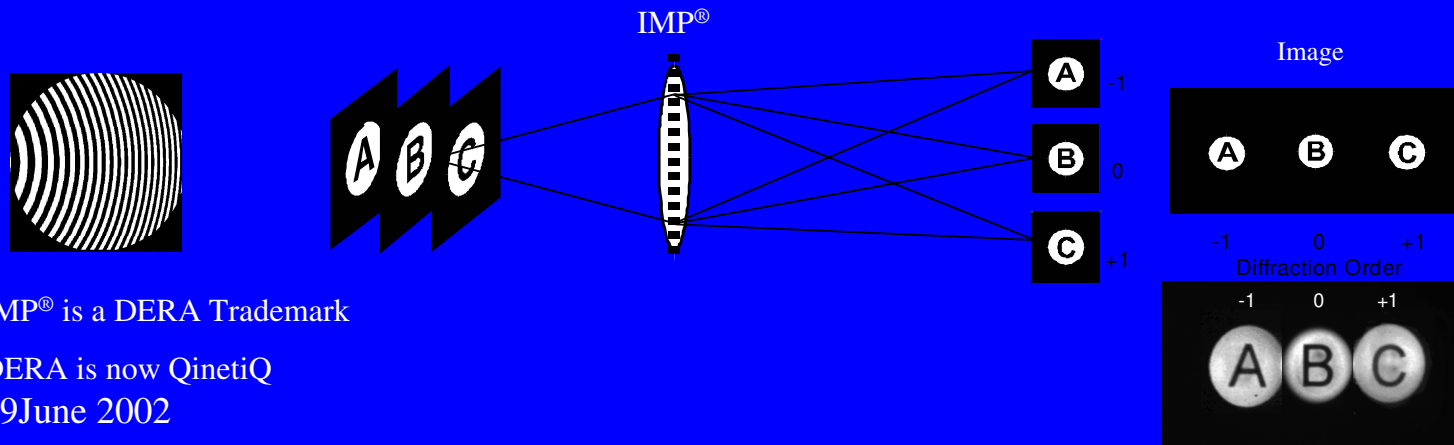
# Diffractive Optics

- Phase-diversity scheme needs wavefront intensity pattern on two separate planes: Scheme adopted uses IMP<sup>®</sup>s

**Undistorted Grating** - identical images of a single object layer in each order



**Distorted Grating** - images of different object layers on a single image plane



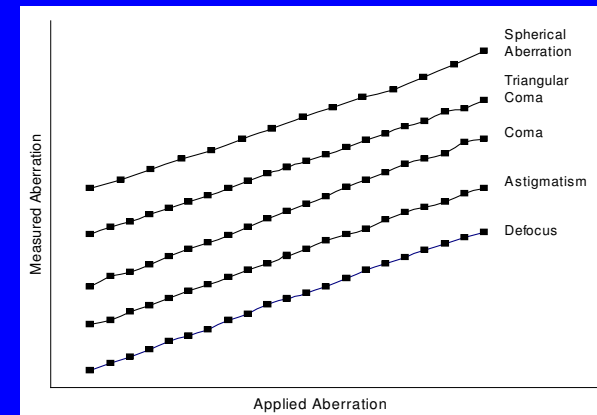
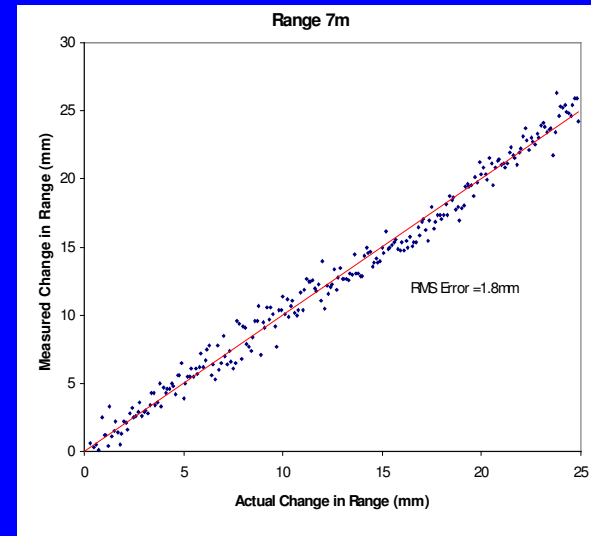
IMP<sup>®</sup> is a DERA Trademark

DERA is now QinetiQ

19June 2002

# Experimental Validation

- Test wavefronts
  - Pure Zernike modes
  - Mixture of Zernike modes
  - Random wavefront errors
- Experimental 3-d imaging
  - Layers imaged as close together as  $50\ \mu\text{m}$
  - Layers imaged typically several metres apart
  - In principle, layers can be kilometres apart
  - 9 layers imaged experimentally
  - Up to 25 Layer imaging designed





# Applications of optical metrology

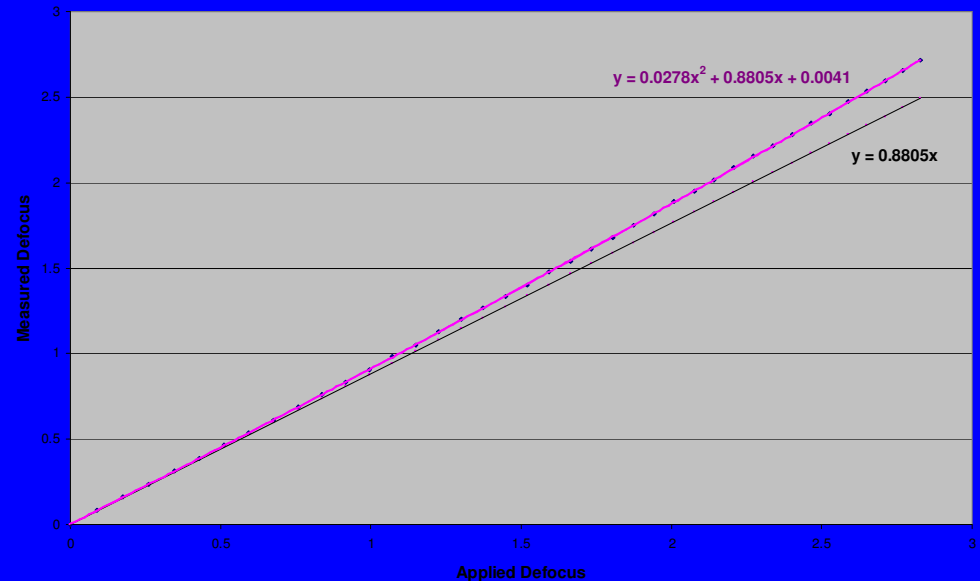
- Surface profiling
  - $\lambda/1000$  accuracy?
- Monitoring processes - welding, laser drilling, fluid flow, ...
  - Depth of hole, shape of weld, turbulence measurement
- Material inhomogeneity
  - Tomographic reconstruction
- Position sensing
  - Bearing and (short) distances
- Robotic imaging
  - 3-d information about scene

# Applications of Wavefront Sensing

- Component of AO system
  - Modal or zonal feedback to wavefront modulator
- Testing optical components and complete assemblies
  - Non-interferometric quality control, esp at non-visible wavelengths
- Laser-beam quality,  $M^2$ 
  - Measure spot on several planes

# Phase-diverse wavefront sensing

- Measured curvature vs set curvature shows systematic trends
- Possible errors in
  - alignment
  - cross-talkwere eliminated  
(effect was too large)



*Deviation from straight line  $\sim \lambda/100$*

*Deviation from quadratic  $\sim \lambda/300$*

27

# How to test the WFS at $\lambda/1000$ ?

- Can we generate a test wavefront with  $\lambda/1000$  precision?
  - A point source at  $z$  gives known wavefront curvature

$z$  is the set distance to the source

$\Delta z$  is the accuracy with which  $z$  can be set

$\Delta s$  is the maximum error in set wavefront curvature

$r$  is the pupil radius

$$s \sim -\frac{r^2}{2z} \Rightarrow z \geq \sqrt{\frac{r^2}{2} \frac{\Delta z}{\Delta s}}$$

If  $r = \sqrt{2} \times 10^{-2}$ ;  $\Delta s = 5 \times 10^{-10}$ ;  $\Delta z = 5 \times 10^{-3}$

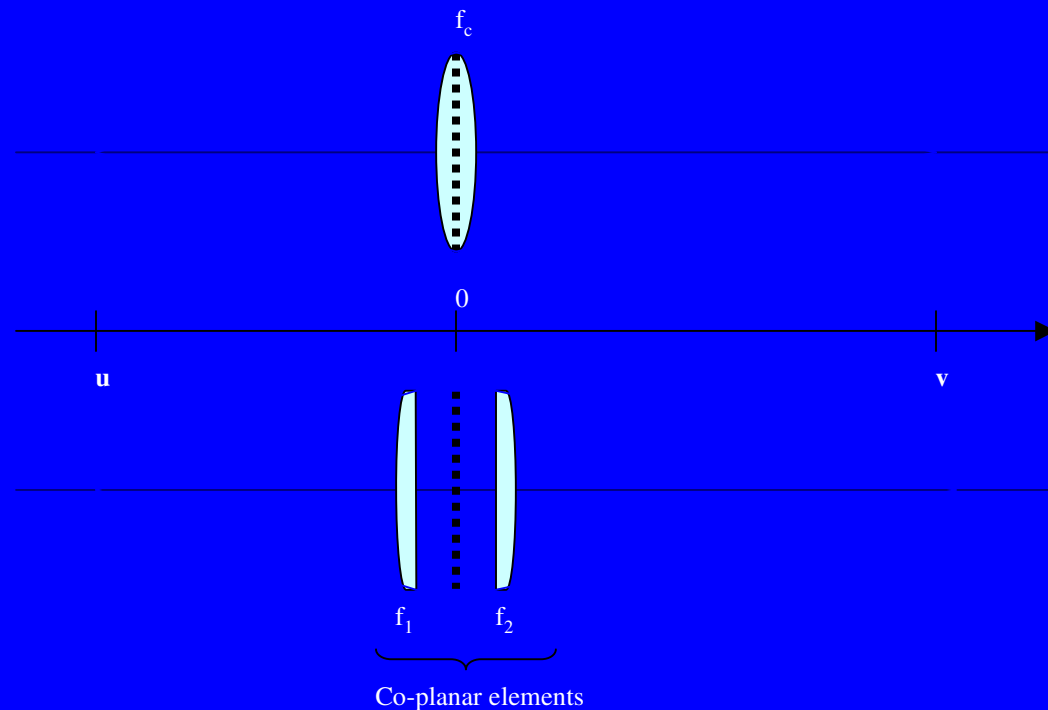
➤  $z > 30\text{m}$

# How to test the WFS at $\lambda/1000$ ?

- At 30m bench is not widely available
  - A folded path is difficult to measure
- Fold the optical path
  - Absolute validation difficult by this route
  - Thus use relative curvature induced by small displacements of the source

# How to test the WFS at $\lambda/1000$ ?

- How do we set the lab tests at finite distance?
- Model as shown below with source focussed on camera



# How to test the WFS at $\lambda/1000$ ?

- Combination focal length  $f_0 = \frac{f_1 f_2}{f_1 + f_2}$

if  $f_1 = z; f_2 = v$

- satisfies usual lens eqns
- input collimated between lenses
- normal wfs description if grating between lenses

$$z \rightarrow z + \Delta z$$

- What if source is shifted without re-focussing?

# What is wavefront 'sag' between lenses if source is shifted?

- Image distance gives virtual source position

$$v_{\Delta z} = \frac{f_0(z + \Delta z)}{z + \Delta z - f_0} \xrightarrow{f_0=z} z + \frac{z^2}{\Delta z}$$

- 'Sag' is given by

$$s = -\frac{r^2}{2z(1 + \frac{z}{\Delta z})}$$

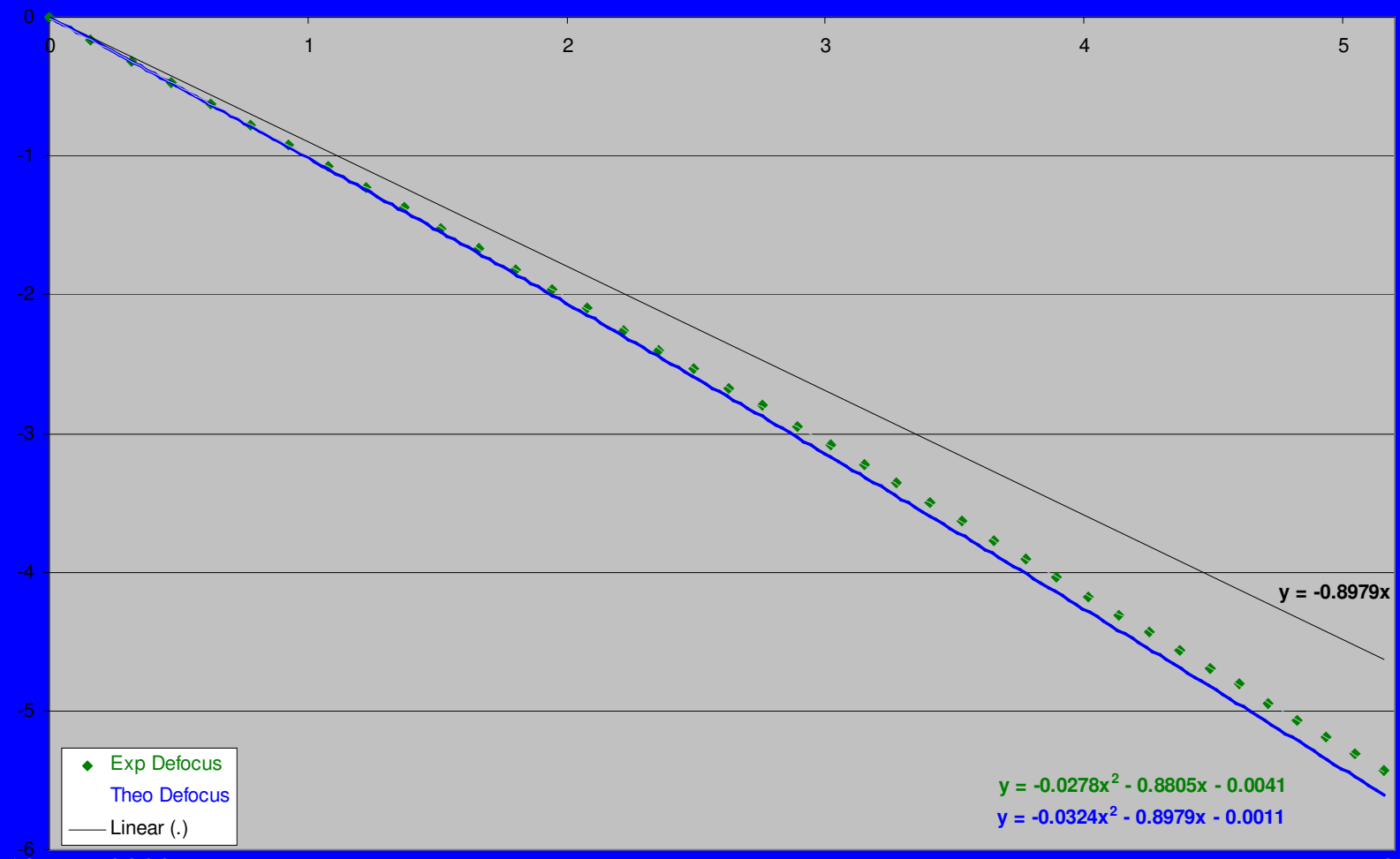
$$\sim -\frac{r^2}{2z} \times \frac{\Delta z}{z} \times \left(1 - \frac{\Delta z}{z} + \dots\right)$$

- So expect to see linear + quadratic behaviour

$$\sim -\frac{r^2 \Delta z}{2z^2} + \frac{r^2 (\Delta z)^2}{2z^3} - \dots$$



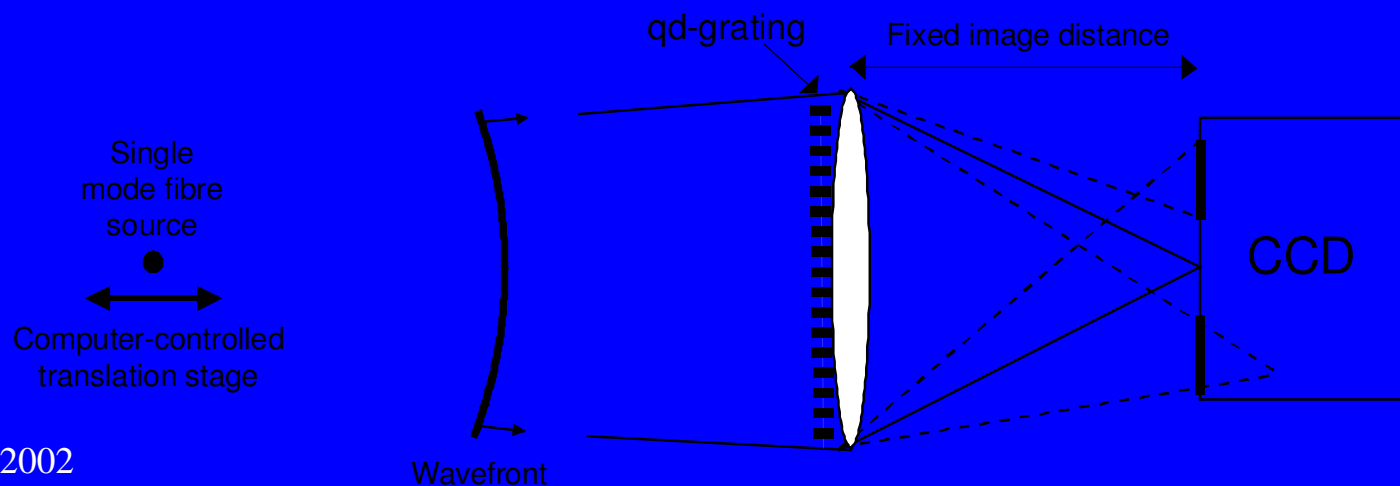
# Measurements



# Setting the curvature

Images in diffraction orders have same scale iff the source is imaged in central order.

Change curvature to test and calibrate measurements



# Phase-diverse wavefront sensing

## Requirements

- Need Green's function
  - Calculated at DERA\*
- Need to get boundary conditions correct
  - Get from  $I_1-I_2$  at pupil edges and  $z_1-z_2$
- Need accurate intensity gradient
  - Need accurate spacing to get accurate gradient

\*now QinetiQ

# Phase-diverse Wavefront Sensing

Effects of wavefront shape

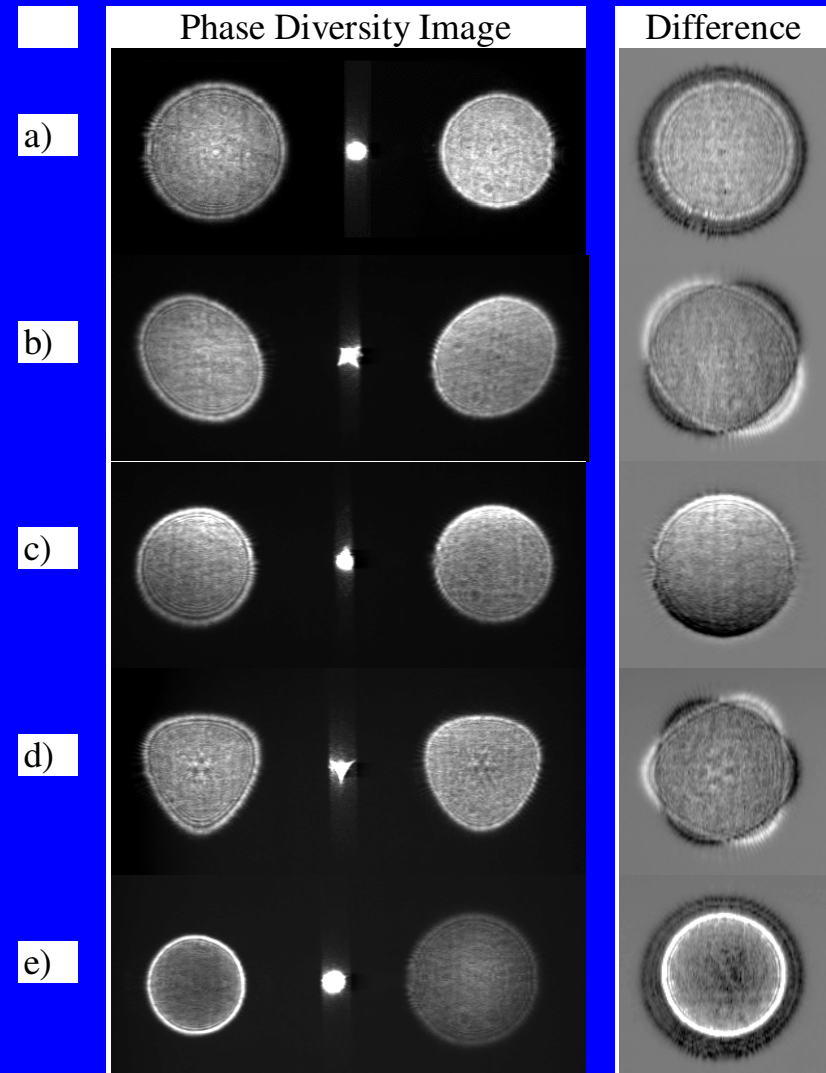
a) defocus

b) astigmatism

c) coma

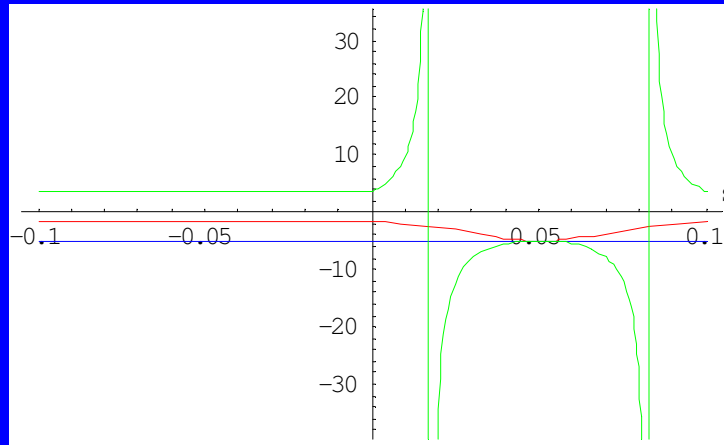
d) trefoil

e) spherical aberration



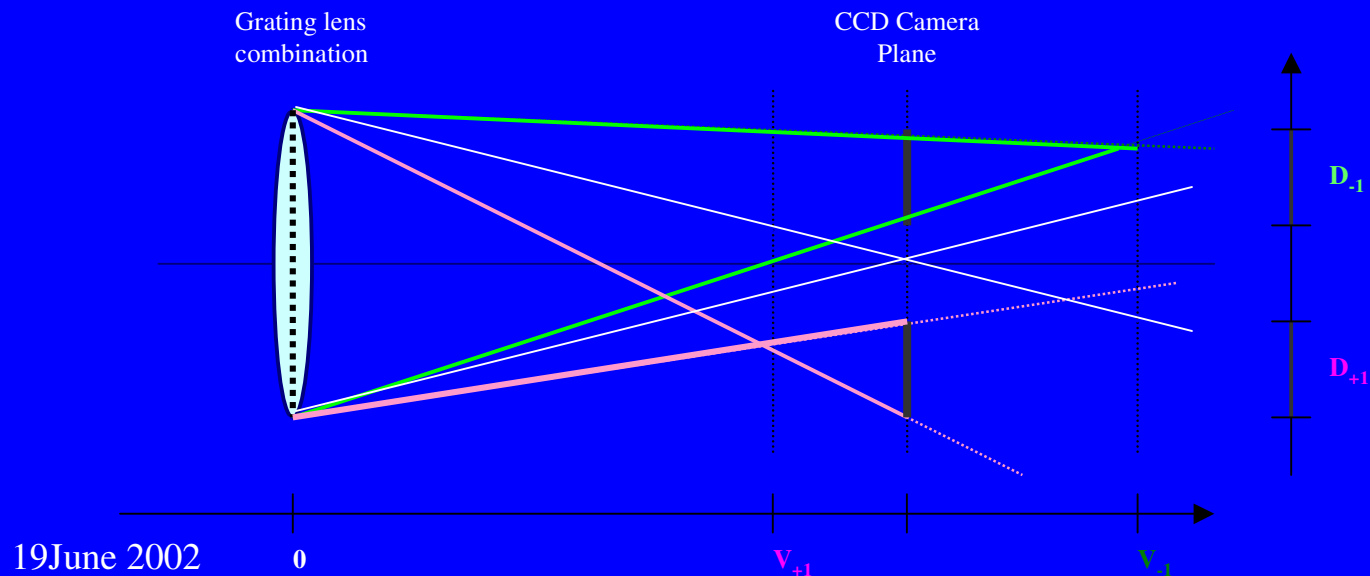
19June 2002

# How critical are placements?



$U_0 = -5 \text{ m}$  Red is  $U_{+1}$  and Green is  $U_{-1}$

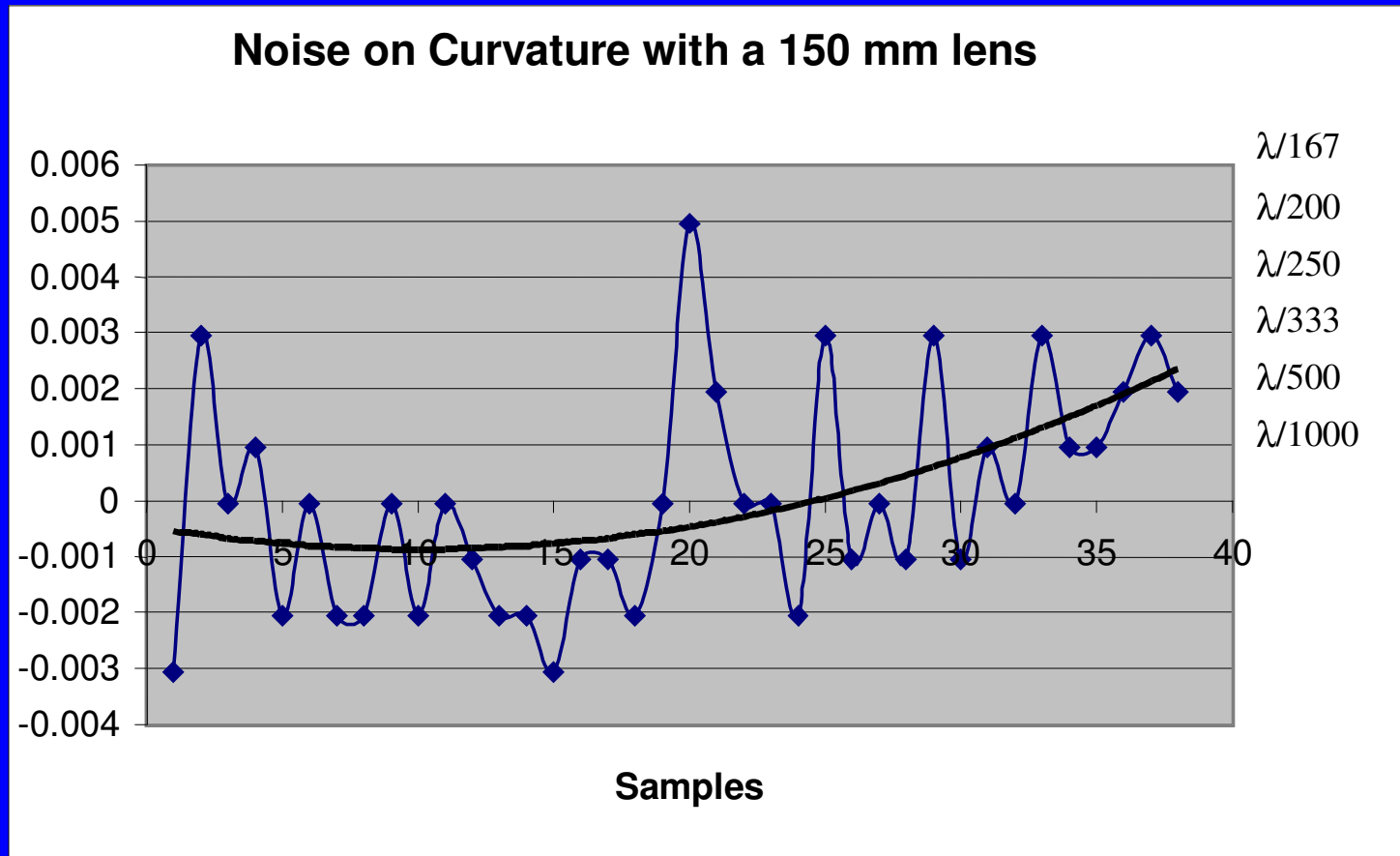
Provided that source is not in the near field and the IMP<sup>®</sup> is in front of the lens, the positions of the planes are reliably defined



19 June 2002

37

# Best Results



# What of remaining effects?

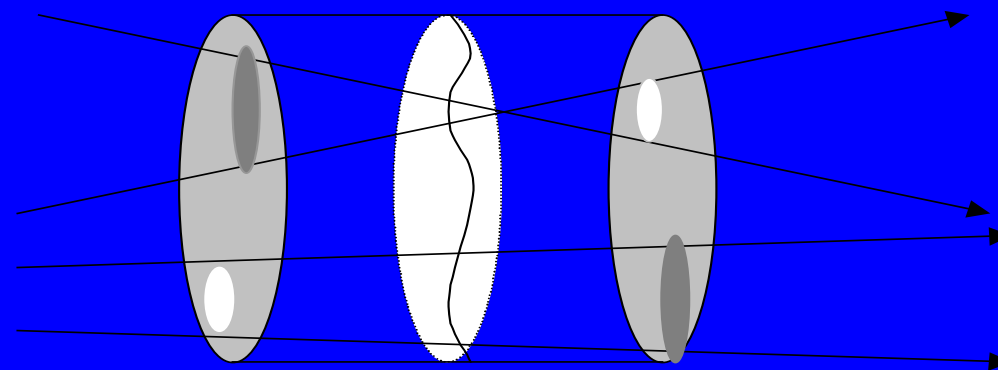
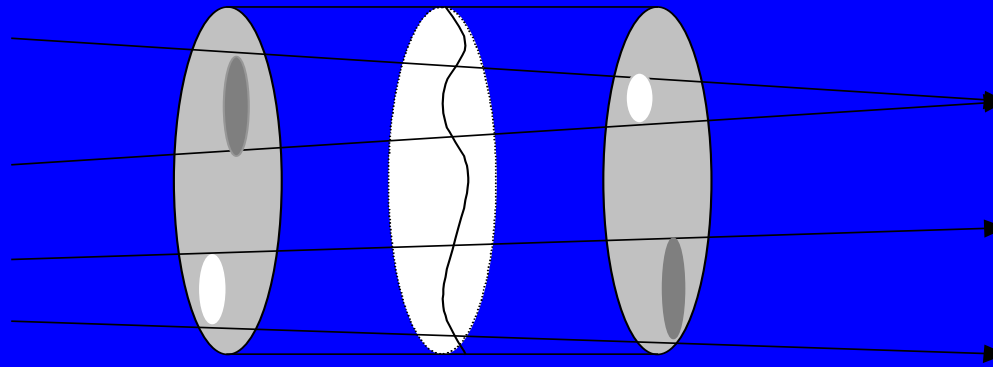
- Background subtraction
  - Vital for accuracy
  - Darkened lab but computer screens in lab vary in brightness
  - No spectral filters used (avoid unknown aberrations)
- No attempt in lab to control temperature
- No attempt in lab to control air currents
- Jitter on translation stage
- Numerical output to only 3 decimal places

# Restrictions

- Intensity assumed uniform
- Wavefronts continuous with continuous 1st derivative
- Close measurement plane to approx derivative
- Metrology implies laser illumination, implies speckle
- Disadvantage if measuring man-made surfaces
- Dynamic range is restricted



# Wavefront Sensing Schematic

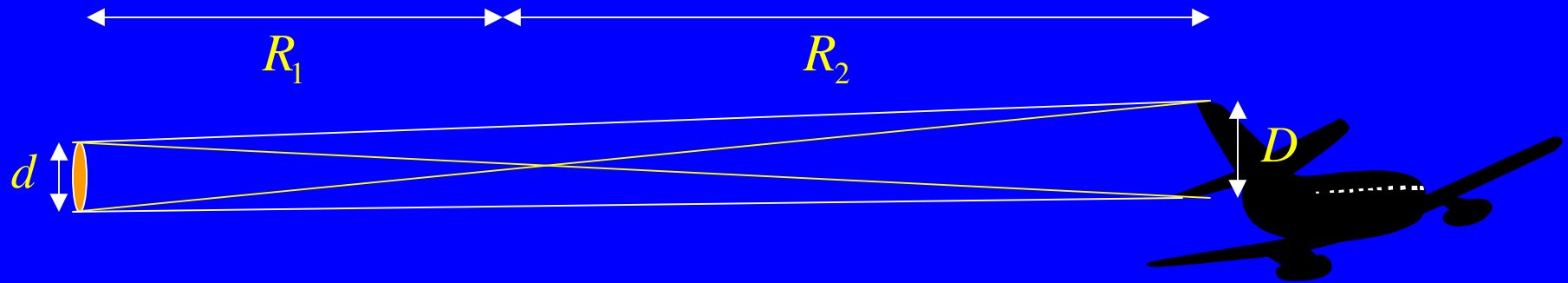


# Other diversity Kernels?

- Why restrictions?
  - Solution constraints on ITE
- But ITE is an approximation
  - iterative solutions reconstruct discontinuities
- What is special about phase diversity/  
wavefront curvature?
  - Easy interpretation
  - Local measurement
  - Fast implementation

Greater flexibility is likely if the approximation of the ITE is avoided and other kernels investigated for WFS - e.g. RSC

# Anisoplanatism



- By similar triangles  $\frac{d}{R_1} = \frac{D}{R_2} \Rightarrow \frac{R_2}{R_1} = \frac{D}{d}$

terrestrial imaging is almost always severely anisoplanatic

# Conclusions

- Phase-diversity WFS based on IMP<sup>®</sup> technology is capable of  $\lambda/1000$  accuracy
- Accuracy is  $\lambda$  independent, best 0.7nm
- Control of background subtraction is greatest problem with present arrangement
- Greater flexibility likely using more complete description and other diversity kernels